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# On the algebraic geometry of Kac–Moody groups

by

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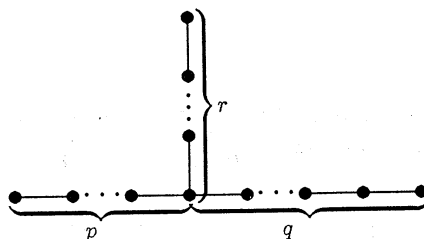
These notes are a slightly elaborated version of a talk given at the RIMS–Symposium on "Topological Field Theory and Related Topics", Kyoto, December 1996. Their aim is to give a survey of the main results obtained by Claus Moker in his dissertation at Hamburg University ([8], October 1996) pertaining to a natural semigroup completion of Kac–Moody groups.

## 1. "Abstract" Kac–Moody groups

Starting point for the construction of Kac–Moody Lie algebras and associated groups is a generalized Cartan matrix, i.e. an  $l \times l$ -matrix  $A = ((a_{ij})) \in M_l(\mathbb{Z})$  satisfying

$$\begin{aligned} a_{ii} &= 2 \\ a_{ij} &\leq 0 \quad i \neq j \\ a_{ij} &= 0 \Rightarrow a_{ji} = 0 \end{aligned}$$

We shall assume, in addition, that  $A$  is symmetrizable (cf. [2]). In fact, one might take  $A$  to be symmetric for simplicity. Also, the generalized Cartan matrices arising in singularity theory and providing the original motivation for our research in Kac–Moody groups (cf. [11], [13]) are symmetric, e.g. the matrix of type  $T_{pqr}$  encoded by the Coxeter–Dynkin diagram



Whereas the Kac–Moody algebra  $\mathfrak{g} = \mathfrak{g}(A)$  is essentially generated by  $l$  copies of the Lie algebra  $\mathfrak{sl}_2(\mathbb{C})$ ,

$$\langle e_i, h_i, f_i \rangle, \quad i = 1, \dots, l,$$

subject to relations derived from  $A$ , the corresponding Kac–Moody group  $G = G(A)$  is essentially generated by  $l$  copies of the Lie group  $SL_2(\mathbb{C})$ . Here, the relations are either imposed abstractly (Tits, cf. [15], [16]) or by the "integration" of  $G$  from the integrable representations of  $\mathfrak{g}$  (Moody–Teo, Marcuson, Garland, and, in the most thorough way, Kac–Peterson [10], [3], [4]).

The most important result about  $G$  as an abstract group is the existence of a "twin"  $BN$ -pair or "twin" Tits system  $(B^+, B^-, N, S)$  in  $G$  providing us, among others, with

- *positive and negative Borel subgroups*  $B^+$  and  $B^-$ ,
- *a maximal torus*  $T = B^+ \cap B^- = N \cap B^+ = N \cap B^-$ ,
- *a Weyl group*  $W = N/T$  with generating set  $S$ ,
- *Bruhat decompositions*

$$G = \bigcup_{w \in W} B^+ w B^+ = \bigcup_{w \in W} B^- w B^-,$$

and a *Birkhoff-decomposition*

$$G = \bigcup_{w \in W} B^- w B^+.$$

Similarly, as in the case of the Lie algebra  $\mathfrak{g}$  where one usually adjoins additional derivations to a "minimal" Kac–Moody algebra, the precise structure of  $G$  depends on slightly finer data than  $A$ . These data are given by an *integral realization*  $(H, \Pi, \Pi^*)$  of  $A$  which fixes the size of the maximal torus  $T$  and its position inside  $G$ .

Here,  $H$  is the lattice of algebraic one-parameter subgroups  $\mathbb{C}^* \rightarrow T$  into  $T$  with dual  $P = H^* = \text{Hom}_{\mathbb{Z}}(H, \mathbb{Z})$ , the lattice of algebraic characters  $T \rightarrow \mathbb{C}^*$ , and

$$\Pi = \{\alpha_1, \dots, \alpha_e\} \subset P, \quad \Pi^* = \{h_1, \dots, h_l\} \subset H$$

are free subsets of *simple roots* in  $P$ , resp. of *simple coroots* in  $H$ , related by

$$\alpha_i(h_j) = a_{ij}.$$

More explicitly,  $\Pi$  and  $\Pi^*$  are given in our context as follows:

Let  $\kappa_i : SL_2(\mathbb{C}) \rightarrow G$ ,  $i = 1, \dots, l$  denote the basic homomorphisms of  $SL_2(\mathbb{C})$  into  $G$ , and let

$$\begin{aligned} h_i : \mathbb{C}^* &\rightarrow G \\ u_i : \mathbb{C} &\rightarrow G \end{aligned}$$

be given by

$$h_i(s) := \kappa_i\left(\begin{pmatrix} s & 0 \\ 0 & s^{-1} \end{pmatrix}\right), \quad s \in \mathbb{C}^*,$$

$$u_i(c) := \kappa_i\left(\begin{pmatrix} 1 & c \\ 0 & 1 \end{pmatrix}\right), \quad c \in \mathbb{C},$$

Then  $h_i(\mathbb{C}^*) \subset T$ , i.e.  $h_i \in H$ , and there is a character  $\alpha_i \in P$  such that

$$t u_i(c) t^{-1} = u_i(\alpha_i(t)c)$$

for all  $t \in T$ ,  $c \in \mathbb{C}$ .

By its natural action on  $T$  and  $P$ , the Weyl group  $W = N/T$  is identified with the subgroup of  $\text{Aut}_{\mathbb{Z}}(P)$  generated by the reflections  $S = \{s_1, \dots, s_l\}$

$$s_i(\omega) = \omega - \omega(h_i)\alpha_i, \quad \omega \in P.$$

Also,  $s_i$  is given by the class of

$$\kappa_i\left(\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}\right) \text{ in } N/T.$$

We can also make the groups  $B^+$  and  $B^-$  more explicit:

Let  $U_i$  denote the subgroup  $u_i(\mathbb{C})$  and, for any *real root*  $\gamma = w(\alpha_i)$  ( $w \in W$ ), put

$$U_\gamma := w U_i w^{-1}.$$

The set  $\Sigma^{\text{real}} = W(\Pi)$  of all real roots divides naturally into positive and negative roots,

$$\Sigma^{\text{real}} = \Sigma^{\text{real},+} \cup \Sigma^{\text{real},-}$$

where  $\Sigma^{\text{real},-} = -\Sigma^{\text{real},+}$ , and if we put

$$U^{\pm} = \langle U_{\gamma} | \gamma \in \Sigma^{\text{real},\pm} \rangle$$

( $\langle a, b, \dots \rangle$  denoting the group generated by  $a, b, \dots$ ) we have

$$B^+ = T \bowtie U^+, \quad B^- = T \bowtie U^-.$$

Finally, the anti-involution

$$\begin{array}{ccc} SL_2(\mathbb{C}) & \longrightarrow & SL_2(\mathbb{C}) \\ g & \longmapsto & {}^t g \end{array}$$

can be lifted to all of  $G$ , i.e. there is an anti-involution  $*$  :  $G \rightarrow G$  such that

- $*(t) = t$ , for all  $t \in T$
- $*(\kappa_i(g)) = \kappa_i({}^t g)$ , for all  $g \in SL_2(\mathbb{C})$ .

In particular, one has  $*(U^+) = U^-$ ,  $*(U^-) = U^+$ .

## 2. "Algebraic" Kac-Moody groups

If  $A$  is a Cartan matrix of "finite type" (i.e. all components are of type  $A_n, B_n, \dots, F_n$ , or  $G_2$ ) then  $G$ , as described in the last section, is a reductive algebraic group over  $\mathbb{C}$ . The algebra  $\mathbb{C}[G]$  of regular functions on  $G$  is then a Hopf algebra, and the group  $G$  can be completely recovered from the Hopf algebra  $\mathbb{C}[G]$ , in particular

$$G = \text{Specmax } \mathbb{C}[G] = \text{Hom}_{\mathbb{C}\text{-alg}}(\mathbb{C}[G], \mathbb{C}).$$

If  $A$  is a proper generalized Cartan matrix, then the associated algebra  $\mathfrak{g}$  is of infinite dimension over  $\mathbb{C}$ . Thus, also  $G$  should be infinite-dimensional. A proposal for an algebra of "strongly regular" functions on  $G$  was made by Kac and Peterson in 1983 ([3]). As in the finite-dimensional case, this algebra is

generated by the matrix coefficients of a suitable representation. Let us therefore recall some basic facts about the irreducible highest weight representations of  $G$ .

To simplify the presentation, we shall assume that  $G$  is of "simply-connected type", i.e. that the coroot lattice  $Q^\vee = \mathbb{Z} \cdot \Pi^\vee$  is a direct summand of  $H$

$$H = Q^\vee \oplus D.$$

Then the set  $P^+ = \{\omega \in P \mid \omega(h_i) \geq 0, i = 1, \dots, l\}$  of *dominant weights* can be written as a direct sum

$$P^+ = P^0 \oplus \bigoplus_{i=1}^l \mathbb{N} \cdot \Lambda_i$$

where

$$P^0 = \{\omega \in P \mid \omega(h_i) = 0, i = 1, \dots, l\} \cong D^*$$

and where  $\Lambda_i, i = 1, \dots, l$ , are *fundamental dominant weights*

$$\Lambda_i(h_j) = \delta_{ij}, i, j = 1, \dots, l,$$

uniquely determined modulo  $P^0$ .

As in the finite-dimensional case there is a bijection of  $P^+$  onto the set of isomorphism classes of irreducible highest weight representations  $L$  of  $G$

$$\Lambda \in P^+ \longleftrightarrow L(\Lambda)$$

determined by  $L(\Lambda)$  having a unique (up to scalars) highest weight vector  $v_\Lambda \in L(\Lambda) \setminus \{0\}$  of weight  $\Lambda$ . (If  $\Lambda \in P^0$ , the module  $L(\Lambda)$  will be one-dimensional.)

Any such module carries a nondegenerate contravariant form (essentially unique), i.e. a symmetric bilinear form

$$\langle \quad, \quad \rangle : L(\Lambda) \times L(\Lambda) \rightarrow \mathbb{C}$$

such that  $\langle v, gw \rangle = \langle g^*v, w \rangle$  for all  $v, w \in L(\Lambda), g \in G$ , and  $g^* = *(g)$  the anti-involution on  $G$ .

Let us call the function

$$c_{v,w} : G \rightarrow \mathbb{C}$$

given by  $c_{v,w}(g) = \langle v, gw \rangle$  for some  $v, w \in L(\Lambda)$  a *matrix coefficient* of  $G$  (in the representation  $L(\Lambda)$ ). Kac and Peterson now define

$$\mathbb{C}[G] := \left( \begin{array}{l} \mathbb{C}\text{-algebra generated by} \\ \text{the matrix coefficients} \\ c_{v,w} \text{ for all } v, w \in L(\Lambda) \\ \text{and all } \Lambda \in P^+ \end{array} \right)$$

and they prove the following

**"Peter–Weyl"–Theorem:** The map

$$\bigoplus_{\Lambda \in P^+} L(\Lambda) \otimes L(\Lambda) \rightarrow \mathbb{C}[G]$$

induced by  $v \otimes w \mapsto c_{v,w}$  is an isomorphism of  $G \times G$ -modules.

Here, the action of  $G \times G$  on  $\mathbb{C}[G]$  is given by  $((g, h)f)(x) = f(g^*xh)$ . Alternatively, one might use the usual action of  $G \times G$  on functions on  $G$  and let act  $G$  on the first factor  $L(\Lambda)$  by the contragredient action

$$(g, v) \mapsto (g^*)^{-1}v.$$

It turned out that  $\mathbb{C}[G]$  is not a Hopf algebra. There is neither a co-multiplication nor an antipode (basically due to the infinite-dimensionality of the  $L(\Lambda)$  and the inequivalence between highest weight and lowest weight representations). Even worse, Kac and Peterson exhibited elements in  $\text{Specmax } \mathbb{C}[G]$  not contained in  $G$  (which injects into  $\text{Specmax } \mathbb{C}[G]$ ) (cf. [3] Remark 2.2). Thus they formulated the following problem (loc. cit., 4H b)):

Determine  $\text{Specmax } \mathbb{C}[G]$  (possibly with respect to a topological structure on the algebra  $\mathbb{C}[G]$ )!

Inspired by the deformation theory of certain singularities (cf. [13]) we conjectured

$$\overline{G} := \text{Specmax } \mathbb{C}[G] = G.\overline{T}.G$$

where  $\overline{T}$  is the closure of  $T$  in  $\overline{G}$  realized as the torus embedding

$$T = \text{Specmax } \mathbb{C}[P] \subset \text{Specmax } \mathbb{C}[P \cap I] = \overline{T}$$

for  $I \subset P \otimes_{\mathbb{Z}} \mathbb{R}$ , the Tits cone attached to  $G$ . This embedding, or rather a domain  $\mathcal{T} \subset \overline{\mathcal{T}}$  of discontinuity for the action of  $W$ , had been studied before by Looijenga and the quotient  $\overline{\mathcal{T}}/W$  had turned out to be the base space of a semiuniversal deformation for certain isolated singularities (cf. [6], [7]). Moreover, in [12], [13] we realized  $\overline{\mathcal{T}}/W$  and  $\overline{\mathcal{T}}/W$  as target spaces for an adjoint quotient of  $G$ .

During a stay at MSRI (1984), D. Peterson announced a proof of the above conjecture including a number of structural properties of  $\overline{G}$  ([9],  $\overline{G}$  being considered as the continuous spectrum with respect to some topology). In connection with his infinite-dimensional algebraic-geometric approach to the flag manifolds of Kac-Moody groups, M. Kashiwara also studied the abstract maximal spectrum of  $\mathbb{C}[G]$  (without topology on  $\mathbb{C}[G]$ ), cf. [5]. Finally, C. Mokler ([8]) made a quite thorough study of  $\overline{G}$  in the context of some infinite-dimensional algebraic geometry based on suitably topologized coordinate rings. In particular, he gave a detailed proof of our conjecture. This is what we want to report upon.

### 3. A topology on the algebra of strongly regular functions

Let  $V$  be a complex vector space. Then we may view the symmetric algebra  $S(V^*)$  of its dual space  $V^*$  as the coordinate ring of the variety  $V$ . If  $\dim_{\mathbb{C}} V < \infty$  we have

$$\mathrm{Hom}_{k\text{-alg}}(S(V^*), \mathbb{C}) = \mathrm{Hom}(V^*, \mathbb{C}) = V^{**} = V.$$

However, if  $\dim_{\mathbb{C}} V = \infty$  we have  $V \subset V^{**}$ ,  $V \neq V^{**}$ , and  $\mathrm{Specmax} S(V^*)$  is strictly larger than  $V$ . To remedy this defect we put the following topology on the algebra  $S(V^*)$ :

A basis of neighborhoods of  $0 \in S^*(V^*)$  is given by the "cofinite" ideals

$$\{J(V') \mid V' \subset V \text{ a finite-dimensional subspace}\},$$

$$J(V') = \{f \in S(V^*) \mid f|_{V'} \equiv 0\}.$$

Now, the continuous maximal spectrum

$$\mathrm{Specm}^{\circ} S(V^*) = \mathrm{Hom}_{\mathrm{cont}\text{-}k\text{-alg}}(S(V^*), \mathbb{C})$$

is easily identified with  $V$  (i.e. Hilbert's Nullstellensatz gives  $V' = S(V^*)/J(V')$  for all finite-dimensional  $V' \subset V$ ).



To put a topology on  $\mathbb{C}[G]$  we embed  $G$ , and finally  $\overline{G}$ , into a larger space  $M$  constructed as follows:

We fix contravariant forms  $\langle \cdot, \cdot \rangle$  on all modules  $L(\Lambda), \Lambda \in P^+$ , and extend them to a form, also denoted by  $\langle \cdot, \cdot \rangle$ , on the direct sum

$$L := \bigoplus_{\Lambda \in P^+} L(\Lambda)$$

by requiring  $L(\Lambda)$  and  $L(\Lambda')$  to be orthogonal for  $\Lambda \neq \Lambda'$ . Let  $M$  denote the subalgebra of  $\text{End}(L)$  satisfying

- $\varphi(L(\Lambda)) \subset L(\Lambda)$  for all  $\Lambda \in P^+$ ,
- the adjoint  $\varphi^*$  of  $\varphi$  with respect to  $\langle \cdot, \cdot \rangle$  exists.

We let  $\mathbb{C}[M]$  denote the  $\mathbb{C}$ -algebra generated by all matrix coefficients  $c_{v,w} : M \rightarrow \mathbb{C}, v, w \in L, c_{v,w}(\varphi) = \langle v, \varphi w \rangle$ , and consider the "cofinite" topology on  $\mathbb{C}[M]$  given by the neighborhood basis of 0

$$\{J(M')|M' \subset M \text{ a subspace of finite dimension}\},$$

$J(M')$  being the vanishing ideal of  $M'$ .

Then we have

- $\text{Specm}^\circ \mathbb{C}[M] = M$
- $M$  is a "weak" algebraic monoid (i.e. right and left multiplication on  $M$  by given elements of  $M$  are "morphisms" of  $M$ ; note that there is no comultiplication on  $\mathbb{C}[M]$ ).

By the definition of the contravariant forms on the  $L(\Lambda)$  and  $L$  we have a natural embedding  $G \hookrightarrow M$ . Moreover,  $\mathbb{C}[G]$  is the image of  $\mathbb{C}[M]$  under the restriction from  $M$  to  $G$ . We now put the quotient topology with respect to  $\mathbb{C}[M] \rightarrow \mathbb{C}[G]$  on  $\mathbb{C}[G]$  and we obtain

- $\text{Specm}^\circ \mathbb{C}[G] = \overline{G}$  = Zariski-closure of  $G$  in  $M$ ,
- $\overline{G}$  is a "weak" algebraic monoid (in the sense above).

#### 4. The Tits cone and the closure of the maximal torus

Let  $V = P \otimes_{\mathbb{Z}} \mathbb{R}$  be the "real" character group,  $\overline{C} = \{\omega \in V \mid \omega(h_i) \geq 0 \text{ for all } i = 1, \dots, l\}$  a *fundamental Weyl chamber*, and  $I = W \cdot \overline{C}$  the union of all  $W$ -translates of  $\overline{C}$ . Then  $I$  is a convex solid cone, called the *Tits cone*. The interior  $I^\circ$  of  $I$  is a domain of discontinuity of  $W$ . (For details, cf. [2]).

**Example:** Let  $A$  be the "hyperbolic" matrix

$$\begin{pmatrix} 2 & -2 & 0 \\ -2 & 2 & -1 \\ 0 & -1 & 2 \end{pmatrix} \text{ corresponding to } \begin{array}{ccc} \bullet & \bullet & \bullet \\ \alpha_1 & \alpha_2 & \alpha_3 \end{array}$$

Now, the matrix  $A$  defines a symmetric bilinear form on  $V \cong \mathbb{R}^3$  of signature  $(+, +, -)$ , and with respect to some convention  $I^\circ$  may be identified with the interior of the positive light cone. The Weyl group  $W$  is isomorphic to  $PGL_2(\mathbb{Z})$  acting as a group of hyperbolic motions on the unit disc  $\cong \mathbb{P}(I^\circ) \subset \mathbb{P}(V)$ .

The boundary of  $I$  is of particular interest for us. A subset  $I' \subset I$  is called a (*rational*) *boundary component* of  $I$  if there is a  $\gamma \in V^* = H \otimes_{\mathbb{Z}} \mathbb{R}$  (resp. a  $\gamma \in H$ ) such that

- $\omega(\gamma) \geq 0$  for all  $\omega \in I$
- $\omega(\gamma) = 0$  for  $\omega \in I$  implies  $\omega \in I'$ .

It is possible to classify all boundary components of  $I$  in terms of a special subset of them:

A subset  $\Theta \subset \Pi$  is called *pure* if either  $\Theta = \emptyset$  or if all connected components of  $\Theta$  (in an obvious sense) are of infinite type.

To any pure subset  $\Theta \subset \Pi$  we may associate the following subset  $I(\Theta)$  of  $I$ :

$$I(\Theta) = \{\omega \in I \mid \omega(h_i) = 0 \text{ for all } i \text{ such that } \alpha_i \in \Theta\}.$$

We now have the following result, essentially due to Looijenga ([6]):

**Theorem:**

- i) Let  $\Theta \subset \Pi$  be pure. Then  $I(\Theta)$  is a rational boundary component of  $I$ .
- ii) Let  $I' \subset I$  be a boundary component. Then there is a unique pure  $\Theta \subset \Pi$  and a  $w \in W$  such that  $I' = w.I(\Theta)$ . In particular, all boundary components of  $I$  are rational.

**Example:** We take up the previous example. There are 3 pure subsets of  $\Pi$ :

$$\emptyset, \quad \Theta = \{\alpha_1, \alpha_2\}, \quad \Pi = \{\alpha_1, \alpha_2, \alpha_3\}.$$

The corresponding boundary components are

$$I, \quad \begin{array}{l} \text{all rational half-lines on} \\ \text{the positive light cone} \end{array}, \quad \{0\}.$$

To determine the closure  $\overline{T}$  of  $T$  in  $\overline{G}$  we first have to describe the restriction of  $\mathbb{C}[G]$  to  $T$ . Since all weights of a module  $L(\Lambda)$ ,  $\Lambda \in P^+$ , are contained in  $I \cap P$ , and since  $\overline{C} \cap P = P^+$  we obtain

$$\mathbb{C}[G]|_T = \mathbb{C}[P \cap I],$$

the semigroup algebra of  $P \cap I$ . It is easily seen that the induced topology on  $\mathbb{C}[P \cap I]$  is discrete, thus

$$\overline{T} = \text{Specm}^\circ \mathbb{C}[P \cap I] = \text{Specm} \mathbb{C}[P \cap I].$$

Through  $\mathbb{C}[P \cap I]$  is not finitely generated its maximal spectrum can be determined similarly as in the usual "finite type" theory of torus embeddings (cf. e.g. [1]), i.e. one has

$$\overline{T} = \bigcup_{I'} T/\text{Ann}(I') = \bigcup_{\Theta} \bigcup_{w \in W} T/w\text{Ann}(I(\Theta))w^{-1},$$

where  $\text{Ann}(I') = \{t \in T | \omega(t) = 1 \text{ for all } \omega \in I'\}$  and where  $I'$  (resp.  $\Theta$ ) runs through all rational boundary components of  $I$  (resp. all pure subsets of  $\Pi$ ).

As a subset of  $M$ , the completion  $\bar{T}$  has a quite natural representation theoretic realization:

Let  $\Theta \subset \Pi$  be a pure subset. We define the projection operator  $e(\Theta) \in M$  by

$$e(\Theta)v = \begin{cases} v & \text{if } v \in L(\Lambda)_\mu \text{ and } \mu \in I(\Theta) \\ 0 & \text{if } v \in L(\Lambda)_\mu \text{ and } \mu \notin I(\Theta). \end{cases}$$

Then the boundary stratum  $T/\text{Ann}(I(\Theta))$  is realized as the  $T$ -orbit  $T.e(\Theta)$  of  $e(\Theta)$  under left multiplication by  $T$ . To realize  $e(\Theta)$  as a boundary point of  $\bar{T}$  choose a one-parameter subgroup  $\gamma \in H = \text{Hom}(\mathbb{C}^*, T)$  such that  $\omega(\gamma) \geq 0$  for all  $\omega \in I$  and  $\omega(\gamma) = 0$  exactly when  $\omega \in I(\Theta)$ . Since for all  $s \in \mathbb{C}^*$ ,  $v \in L(\Lambda)_\omega$ , we have

$$\gamma(s)v = s^{\omega(\gamma)}v,$$

we clearly obtain (in  $M$ )

$$\lim_{s \rightarrow 0} \gamma(s) = e(\Theta).$$

## 5. Unipotent subgroups

To study the unipotent radicals  $U^+, U^-$  of  $B^+, B^-$  as well as those of general parabolic subgroups we have to take a closer look at the action of  $G$  on  $L(\Lambda)$ ,  $\Lambda \in P^+$ . We consider  $L(\Lambda)$  as a variety with the coordinate ring  $\mathbb{C}[L(\Lambda)]$  generated by the functions  $c_w : L(\Lambda) \rightarrow \mathbb{C}$ ,  $c_w(v) = \langle v, w \rangle$ , and equipped with the appropriate "cofinite" topology. Then, for any fixed  $v \in L(\Lambda)$ , the orbit map

$$\begin{array}{ccc} M & \longrightarrow & L(\Lambda) \\ m & \longmapsto & mv \end{array}$$

is a morphism of varieties (with continuous comorphism  $\mathbb{C}[L(\Lambda)] \rightarrow \mathbb{C}[M]$ ). We shall make use of the following results of Kac and Peterson ([10],[3] Lemma 4.3)

- The Kostant cone  $\mathcal{V}(\Lambda) = (Gv_0) \cup \{0\}$ , with  $v_0 \in L(\Lambda)_\Lambda \setminus \{0\}$ , is Zariski closed in  $L(\Lambda)$ .
- If  $\Lambda$  is a regular dominant weight,  $\Lambda \in P^{++}$  (i.e.  $\Lambda(h_i) > 0$  for  $i = 1, \dots, l$ ), then  $\mathbb{C}[G]|_{U^-}$  is generated by the matrix coefficients  $c_{xv_0, v_0}$ ,  $x$  running through all elements in  $\mathfrak{g}$  (in fact,  $x \in \mathfrak{g}^- = \bigoplus_{\alpha \in \Sigma^-} \mathfrak{g}_\alpha$ , where  $\Sigma^-$  is the system of all negative roots, is sufficient).

**Theorem** ([8] Satz 5.6,1)): The groups  $U^+$  and  $U^-$  are Zariski closed in  $M$ .

**Proof:** Because of the existence of the anti-involution  $*$  :  $G \rightarrow G$  it is sufficient to consider  $U^-$ . Assume  $v_0 \in L(\Lambda)_\Lambda \setminus \{0\}$  ( $\Lambda \in P^{++}$ ) chosen such that  $\langle v_0, v_0 \rangle = 1$ . This implies

$$\begin{aligned} c_{v_0, v_0}(u) &= 1 \quad \text{for all } u \in U^-, \text{ and} \\ c_{v_0, v_0}(\varphi) &= 1 \quad \text{for all } \varphi \in \overline{U^-}. \end{aligned}$$

Let  $\varphi \in \overline{U^-}$ . Then  $\langle v_0, \varphi v_0 \rangle = 1$  implies  $\varphi v_0 \neq 0$ . Since  $M \rightarrow L(\Lambda), m \mapsto mv_0$ , is continuous and  $\mathcal{V}(\Lambda)$  is closed in  $L(\Lambda)$  we get  $\varphi v_0 \in Gv_0 \subset \mathcal{V}(\Lambda)$ . Thus, using the Birkhoff decomposition of  $G$ , we find  $u \in U^-, n \in N$  such that

$$\varphi v_0 = u^- n v_0.$$

Because of  $(u^-)^* \in U^+$  we have

$$1 = \langle v_0, \varphi v_0 \rangle = \langle (u^-)^* v_0, n v_0 \rangle = \langle v_0, n v_0 \rangle$$

and thus  $n = 1$ , or  $\varphi v_0 = u^- v_0$ . This implies  $c_{xv_0, v_0}(\varphi) = c_{xv_0, v_0}(u^-)$  for all  $x \in \mathfrak{g}$ , or  $\varphi = u^- \in U^-$ , q.e.d.

Recall that any subset  $\Psi \in \Pi$  gives rise to a Weyl subgroup

$$W_\Psi = \langle s_{\alpha_i} | \alpha_i \in \Psi \rangle$$

and parabolic subgroups

$$P_\Psi^\pm = \langle B^\pm, W_\Psi \rangle$$

with unipotent radicals

$$U_\Psi^\pm = \bigcap_{w \in W_\Psi} w U^\pm w^{-1}.$$

It is obvious that  $U_\Psi^\pm$  are Zariski closed in  $M$ , as well.

## 6. The main result

For any  $i \in \{1, \dots, l\}$  we fix a highest weight vector  $v_i \in L(\Lambda_i)_{\Lambda_i} \setminus \{0\}$  and define the principal open subset  $D_i \subset \overline{G}$  by

$$D_i = \{\varphi \in \overline{G} \mid c_{v_i, v_i}(\varphi) \neq 0\}.$$

We can almost cover  $\overline{G}$  by these sets. Let  $\Pi_\infty \subset \Pi$  the maximal pure subset of  $\Pi$ , i.e.  $\Pi$  is the "orthogonal" union of the set  $\Pi_\infty$  and a subset  $\Pi \setminus \Pi_\infty$  of finite type.

**Proposition A** ([M], Satz 5.16): We have

$$\bigcup_{i=1}^l \bigcup_{g, h \in G} gD_ih = \overline{G} \setminus T.e(\Pi_\infty).$$

**Proof:** To simplify our presentation, we shall assume  $\Pi = \Pi_\infty$  and  $P^\circ = \{0\}$ . Then  $e(\Pi) = e(\Pi_\infty) \in M$  is characterized by the property  $e(\Pi)v = 0$ , for all  $v \in L(\Lambda), \Lambda \in P^+ \setminus \{0\}$ . Consider  $\varphi \in \overline{G}$  and assume  $\varphi \notin gD_ih$  for all  $i \in \{1, \dots, l\}, g, h \in G$ . Then

$$\langle gv_i, \varphi hv_i \rangle = 0, \text{ for all } i, g, h.$$

Since  $L(\Lambda_i)$  is spanned by all  $gv_i, g \in G$ , we obtain  $\varphi|_{L(\Lambda_i)} = 0$ . Since any  $L(\Lambda), \Lambda \in P^+ \setminus \{0\}$  is made up from tensor products of the  $L(\Lambda_i)$  and subsequent reduction, we get

$$\varphi|_{L(\Lambda)} = 0 \quad \text{for all } \Lambda \in P^+ \setminus \{0\}$$

or  $\varphi = e(\Pi)$ .

(This proof can be easily adopted to the general case.)

As a next step, we shall determine the structure of the open sets  $D_i \subset \overline{G}$ . For that recall the parabolic subgroups

$$P_i^\pm = P_{\Pi \setminus \{\alpha_i\}}^\pm$$

with unipotent radicals

$$U_i^\pm = U_{\Pi \setminus \{\alpha_i\}}^\pm,$$

Levi subgroup  $G_i = P_i^+ \cap P_i^-$  and Weyl group  $W_i = W_{\Pi \setminus \{\alpha_i\}}$ . Then  $G_i$  is the Kac–Moody group attached to the realization  $(H, \Pi \setminus \{\alpha_i\}, \Pi \setminus \{h_i\})$ . Let  $\mathbb{C}[G_i]$  denote the algebra of strongly regular functions on  $G_i$  and let  $\mathbb{C}[G]_i$  denote the algebra of restricted functions from  $\mathbb{C}[G]$  to the subgroup  $G_i$ . Then the function  $c_{v_i, v_i}$  restricts to the character  $\Lambda_i$  on  $G_i$ , and representation theoretic arguments quickly show (cf. [8], section 5.1.2):

**Lemma:** The inclusion  $\mathbb{C}[G]_i \subset \mathbb{C}[G_i]$  induces an isomorphism from the localization of  $\mathbb{C}[G]_i$  with respect to  $\Lambda_i$  to  $\mathbb{C}[G_i]$ :

$$(\mathbb{C}[G]_i)_{\Lambda_i} \xrightarrow{\sim} \mathbb{C}[G_i] .$$

**Proposition B:** For any  $i \in \{1, \dots, l\}$  we have an isomorphism of infinite-dimensional varieties

$$D_i = U_i^- \times \text{Specm}^\circ \mathbb{C}[G_i] \times U_i^+ .$$

**Proof:** Let us first look at  $D_i \cap G$ . Then the Birkhoff–decomposition

$$G = \bigcup_{w \in W} U^- w T U^+$$

gives

$$D_i \cap G = \bigcup_{w \in W_i} U^- w T U^+ = U_i^- \cdot G_i \cdot U_i^+ \text{ (direct product)} .$$

Recall that the  $U_i^\pm$  are closed in  $M$ , therefore in  $\overline{G}$  and in  $D_i$ . By the Lemma, the closure of  $G_i$  in  $D_i$  can be identified with  $\text{Specm}^\circ \mathbb{C}[G_i]$ . This gives the claim.

Applying downward induction to Propositions A and B we arrive at our main result.

**Theorem**([8], Satz 5.18): We have

$$\overline{G} = \{ge(\Theta)h \mid \Theta \subset \Pi \text{ pure } g, h \in G\} = G \cdot \overline{T} \cdot G .$$

**Remarks:** Proposition B for the case of the minimal parabolic  $B^+$  may already be found in [3], Lemma 4.4. Its general version for arbitrary parabolics is due to Kashiwara ([5], Proposition 5.3.5), who has also given a form of Proposition A in a somewhat different context ([5], Proposition 6.3.1).

## 7. An application

In [8] one finds many more results on the structure of  $\overline{G}$ . Here, we want to conclude with an application to the adjoint quotient of  $G$  studied in [12], [13], [14] (details are forthcoming). Recall that  $G$  admits a "parabolic" partition

$$G = \bigcup_{\substack{\Theta \subset \Pi \\ \text{pure}}} G(\Theta)$$

parallel to a stratification of  $\overline{T}/W$

$$\overline{T}/W = \bigcup_{\Theta \subset \Pi} (\overline{T}/W)(\Theta)$$

$((\overline{T}/W)(\Theta))$  the image of  $T/\text{Ann}(I(\Theta))$  in  $\overline{T}/W$ .

The adjoint quotient defined in [12], [13] is a conjugation invariant map

$$\chi : G \rightarrow \overline{T}/W$$

mapping  $G(\Theta)$  to  $(\overline{T}/W)(\Theta)$  for any pure  $\Theta \subset \Pi$ . With the help of a theory of "optimal one-parameter semisubgroups" in  $\overline{G}$  the partition and the map  $\chi$  can be extended to a conjugation invariant map  $\overline{\chi} : \overline{G} \rightarrow \overline{T}/W$  with the following properties, basic in geometric invariant theory:

- Every fibre of  $\overline{\chi}$  contains a unique closed conjugacy class,
- two elements  $\varphi, \psi \in \overline{G}$  are mapped to the same point in  $\overline{T}/W$  if and only if the closures of their conjugacy classes meet,

$$\overline{(\text{Ad}(G)\varphi)} \cap \overline{(\text{Ad}(G)\psi)} \neq \emptyset.$$

**Remarks:** 1) If one considers  $\chi : G \rightarrow \overline{T}/W$  these statements hold only for the "classical" part  $G(\emptyset)$  mapping onto  $T/W$ .

2) The closed (= minimal = semisimple) orbits in all fibres of  $\overline{\chi}$  are given as the orbits of the elements  $t.e(\Theta)$ ,  $\Theta \subset \Pi$  pure,  $t \in T$ .



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